



3623  
TW

PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/033,943	
	Filing Date	December 28, 2001	
	First Named Inventor	Jackson et al.	
	Art Unit	3623	
	Examiner Name	R. Jeanty	
Total Number of Pages in This Submission	10	Attorney Docket Number	82274.102

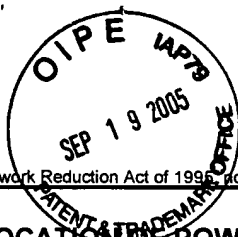
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	3.73 Statement; Copy of Assignment; postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Huntton & Williams LLP		
Signature			
Printed name	Thomas E. Anderson		
Date	9/15/05	Reg. No.	37,063

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	
Typed or printed name	Nancy Levens
Date	9/15/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (04-05)

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/033,943
Filing Date	12/28/01
First Named Inventor	Jackson et al.
Art Unit	3623
Examiner Name	Romain Jeanty
Attorney Docket Number	82274.102

**I hereby revoke all previous powers of attorney given in the above-identified application.**

☐ A Power of Attorney is submitted herewith.

**OR**

☒ I hereby appoint the practitioners associated with the Customer Number:

24347

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

24347

**OR**

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Kim Rucker

Date

8/31/05

Telephone

214-812-6072

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Basic Resources, Inc.

Application No./Patent No.: 10/033,943 Filed/Issue Date: December 28, 2001

Entitled: Compliance Management System and Method

Basic Resources, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013690, Frame 0321, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

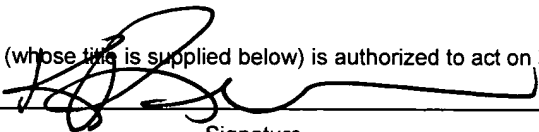
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

**Kim Rucker**

Printed or Typed Name

**Senior Vice President Secretary & Chief Governance Officer**

Title

**8/31/05**

Date

**214-812-6072**

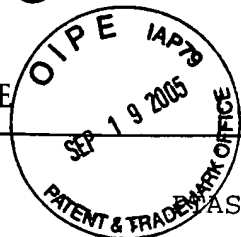
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT AND  
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MAY 30, 2003

HUNTON & WILLIAMS  
J. ROBERT BROWN, JR.  
1601 BRYAN STREET  
ENERGY PLAZA, SUITE 3000  
DALLAS, TX 75201

Hunton & Williams

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



\*102350945A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/23/2003

REEL/FRAME: 013690/0321  
NUMBER OF PAGES: 6

BRIEF: RE-RECORD TO CORRECT THE EXECUTION DATE PREVIOUSLY RECORDED ON REEL/FRAME 12889/0238.

ASSIGNOR:

JACKSON, BRIAN L.

DOC DATE: 01/09/2002

ASSIGNOR:

BINGHAM, CRAIG E.

DOC DATE: 01/10/2002

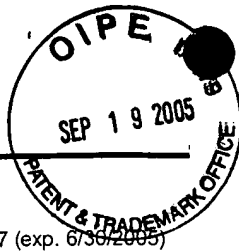
ASSIGNEE:

BASIC RESOURCES, INC.  
1601 BRYAN STREET  
DALLAS, TEXAS 75201

SERIAL NUMBER: 10033943  
PATENT NUMBER:

FILING DATE: 12/28/2001  
ISSUE DATE:

THERESA FREDERICK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



01-29-2003



102350945

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇌ ⇌ ⇌

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Brian L. Jackson  
Craig E. Bingham

1-23-03

## 2. Name and address of receiving party(ies)

Name: Basic Resources, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1601 Bryan Street

City: Dallas State: TX Zip: 75201

OFFICE OF PUBLIC RECORDS  
2003 JAN 23 PM 2:25  
FINANCE SECTIONAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 1/9/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/033,943

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Robert Brown, Jr.

Internal Address: Hunton &amp; Williams

Energy Plaza, Suite 3000

Street Address: 1601 Bryan Street

City: Dallas State: TX Zip: 75201

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

23-3189

DO NOT USE THIS SPACE

## 9. Signature.

J. Robert Brown, Jr.

Name of Person Signing

  
Signature1/23/03  
DateTotal number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

01/28/2003 LMUELLER 00000120 233189

10033943

01 FC:8021

40.00 CH

## ASSIGNMENT

**WHEREAS**, I, the undersigned inventor of residence as listed, or joint inventors if more than one inventor is listed below (hereinafter referred to, whether one or more inventors, as "ASSIGNORS"), have invented certain new and useful improvements, as below entitled, for which a United States Patent Application for United States Letters Patent is made, said application being executed on the date set forth below, and

**WHEREAS**, Basic Resources, Inc. (hereinafter referred to as "ASSIGNEE"), a Texas corporation, with an address of 1601 Bryan Street, Dallas, Texas 75201, desires to acquire my entire right, title and interest in and to said invention(s), and in and to the said application and any Letters Patent that may issue thereon, rely thereon, or claim priority therefrom;

**NOW, THEREFORE**, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS assign to ASSIGNEE, all right, title and interest in and to said invention(s), in and to said application, all patent applications that may claim priority therefrom or rely thereon, all patents which may be granted therefor, or which may claim priority therefrom or rely thereon, including all divisions, reissues, continuations, continuations-in-part and extensions thereof, and all related materials, whether in tangible or intangible form, and including all notes, writings, notebooks, and related materials; and ASSIGNORS authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention(s), or patents resulting therefrom, or claiming priority or relying thereon, insofar as ASSIGNORS' interest is concerned, to ASSIGNEE.

ASSIGNORS also assign to ASSIGNEE, all right, title and interest in and to the invention(s) disclosed in said application throughout the world, including the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in said United States Patent Application, and the right to file applications and obtain patents, utility models, industrial models and designs for said invention(s) in its own name throughout the world, including all rights to publish cautionary notices reserving ownership of said invention(s) and all rights to register said invention in appropriate registries; and ASSIGNORS further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in ASSIGNEE.

ASSIGNORS shall promptly assign and fully communicate to ASSIGNEE any facts and information known to them respecting any improvements related to said invention(s) and said application while any patent application anywhere in the world is still pending that claims priority, whether directly or indirectly, from said invention(s) or said application. ASSIGNORS will maintain any such facts and information in confidence and will only reveal such facts and information to ASSIGNEE or its assigns. ASSIGNORS will testify in any legal proceedings, sign

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CUSTOMER NO. 24347

2

ASSIGNMENT

all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths, declarations, and affidavits and generally do everything possible to vest title in ASSIGNEE and to aid ASSIGNEE to obtain and enforce proper protection for said invention(s) and said application in all countries. ASSIGNEE shall not communicate nor cooperate in any manner with any third party, other than ASSIGNEE, that claims any rights in and to said invention(s) and said application.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention:

*Compliance Management System and Method*

Signature of Inventor:

Inventor's Name:

*Brian L. Jackson*

Brian L. Jackson

Residence (City, County, State)

Post Office Address

Mansfield, Tarrant County, Texas

2204 Charleston Drive

Mansfield, Texas 76063

Date:

*1/9/2002*

Date Declaration Executed  
by this Assignor:

December 27, 2001

ATTORNEY DOCKET NO. TUEC.IP2016  
CUSTOMER NO. 24347

3

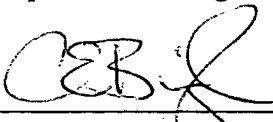
ASSIGNMENT

Title of Invention:

*Compliance Management System and Method*

Signature of Inventor:

Inventor's Name:

  
\_\_\_\_\_  
Craig E. Bingham

Residence (City, County, State)

Post Office Address

Arlington, Tarrant County, Texas

2114 Mossy Oak Street

Arlington, Texas 76012

Date:

1-10-02

Date Declaration Executed  
by this Assignor:

December 27, 2001



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CUSTOMER NO. 24347

4

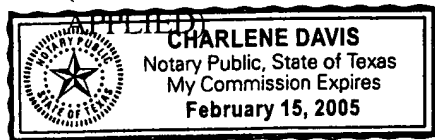
ASSIGNMENT

NOTARIZATION ACCOMPANYING ASSIGNMENT

STATE OF TEXAS                    }  
  } ss  
COUNTY OF Ellis                    }

Before me personally appeared **Brian L. Jackson**, who acknowledged the foregoing instrument to be a free act and deed and also represented that he is authorized to execute the same this 9<sup>th</sup> day of January, 2002.

(NOTARIAL SEAL



Charlene Davis  
Notary Public, In and For the  
State of Texas

My Commission Expires:

February 15, 2005

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CUSTOMER NO. 24347

5

ASSIGNMENT

NOTARIZATION ACCOMPANYING ASSIGNMENT

STATE OF TEXAS                    }  
  } ss  
COUNTY OF Tarrant            }

Before me personally appeared **Craig E. Bingham**, who acknowledged the foregoing instrument to be a free act and deed and also represented that he is authorized to execute the same this 11<sup>th</sup> day of February, 2002.

(NOTARIAL SEAL  
APPLIED)

Karen M. Gammage  
Notary Public, In and For the  
State of Texas

My Commission Expires:

Sept. 7 2003

